

GENERAL INFORMATION

Facility Name:	Huizhou Tongfu Zhihui Animation Co., Ltd	Customer name:	*Facility direct request
Facility Address:	Floors 1-4, Huangzhuchang Section (Factory Building E of Hong Industrial Development Co Ltd.), Xinlian Village, Xinxu Town, Huiyang District, Huizhou City, Guangdong Province, China	Industry / Key main product:	Toys
Facility City:	Huiyang	Facility Tel:	
Facility Postal code:	--	Facility Fax:	
Facility contact:	Li Yong Gang ..	Auditor(s):	Scarlett Qin
Facility email:	zsyrdj@163.com	Audit Date:	15-May-2025
Service Provider:	Intertek	CIR Generated Date:	15-May-2025

TYPE OF ASSESSMENT STAGE

☒ Initial ☐ Follow-up ☐ Re-audit ☐ CAPA Support ☐ Desktop Review ☐ Annual

FINDINGS SUMMARY

Severity	Findings	Recommended Improvement Timeline
Moderate	4	Take action within <90 days> to make necessary improvements
Minor	3	Take action within <180 days> to make necessary improvements
Total	7	

AUDIT RESULTS COMMUNICATED WITH

Name

Title

ADDITIONAL COMMENT

SIGNATURE OF AUDITOR	SIGNATURE OF FACILITY REPRESENTATIVE

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Opportunity for Improvement # 1

Finding and Auditor Comment

Wages & Hours	
Working Hours	
Severity	Moderate
Finding Code	O0626
Finding	Total overtime hours exceeds allowable limits under applicable law or agreement.
Auditor Comments	Overtime hours exceeded the legal requirement. Through document review, auditors found that the monthly overtime hours of 10 out of 10 randomly selected employees were 62-76 hours in March 2025 (Most current month), 3 out of 3 randomly selected employees were 60-82 hours in December 2024 (Randomly selected month), 3 out of 3 randomly selected employees were 60-80 hours in October 2024 (Randomly selected month).

Applicable Laws

Law Section	People's Republic of China Labor Law Article 41, The employing unit may extend working hours due to the requirements of its production or business after consultation with the trade union and laborers, but the extended working hour for a day shall generally not exceed one hour; if such extension is called for due to special reasons, the extended hours shall not exceed three hours a day under the condition that the health of laborers is guaranteed. However, the total extension in month shall not exceed 36 hours.
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Facility Comments

Proposed Corrective Action	It is recommended that the facility should reduce the overtime hours to ensure it is within 36 hours per month.
Management Response	

Corrective Action Plan

Responsible Personnel	
Expected Completion date	13-Aug-2025
Agreed Completion Date (*)	

Opportunity for Improvement # 2

Finding and Auditor Comment

Wages & Hours	
Wages and Benefits	
Severity	Moderate
Finding Code	O0717
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Corrective Action Plan (CAP)

Finding	The facility does not provide social security, pensions, healthcare and/ or other insurance to employees as per legal requirement.
Auditor Comments	Insufficient social insurance participated. Through document review, auditor found that a total of 190 employees were currently working in the facility, which included 8 retirees, 182 employees need to participate the social insurance. As per the social insurance receipts of April 2025, 13 out of 182 employees had participated in basic endowment insurance, unemployment insurance, maternity insurance, basic medical insurance and employment injury insurance. The facility had provided employer's liability insurance to all 182 employees with valid period from May 6, 2025 to Ma 5, 2026.

Applicable Laws

Law Section	Social Insurance Law of the People's Republic of China Article 10, Employees shall participate in the basic endowment insurance, and the basic endowment insurance premiums shall be jointly paid by employers and employees. Article 23, Employees shall participate in the basic medical insurance for employees, and the basic medical insurance premiums shall be jointly paid by employers and employees in accordance with the relevant provisions of the state. Article 33, Employees shall participate in employment injury insurance, and the employment injury insurance premiums shall be paid by their employers rather than the employees. Article 44, Employees shall participate in unemployment insurance, and the unemployment insurance premiums shall be jointly paid by employers and employees in accordance with the relevant provisions of the state. Article 53, Employees shall participate in maternity insurance, and the maternity insurance premiums shall be paid by employers rather than employees in accordance with the relevant provisions of the state.
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Facility Comments

Proposed Corrective Action	It is recommended that the facility should ensure all employees participate in social insurance according to the Law.
Management Response	

Corrective Action Plan

Responsible Personnel	
Expected Completion date	13-Aug-2025
Agreed Completion Date (*)	

Opportunity for Improvement # 3

Finding and Auditor Comment

Health & Safety

Chemical and Hazardous Materials

Severity	Moderate
Finding Code	O0473
Finding	Preventative measures are not in place to prevent chemical leakage.

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Corrective Action Plan (CAP)

Auditor Comments

Some chemical had no secondary container. Through on site observations, there were chemicals (such as thinner, paint and cleaner) were used or stored at painting workshop on 4th floor of one 4-storey production building, around 5% chemical had no secondary container.

Applicable Laws

Law Section

Regulations on the Safety Management of Dangerous Chemicals Article 20, The units producing, storing dangerous chemicals shall, according to the categories and hazardous characteristics of the dangerous chemicals they producing, storing, set up the corresponding safety facilities and equipments for monitoring, aeration, protection against exposure to sun, temperature adjusting, fireproof, fire fighting, flameproof, pressure discharging, prevention of toxicants, neutralization, moistureproof, protection against thunder, protection against static, antiseptis, prevention of leakage, protection dams or segregated operations, etc.. In addition, the units shall carry out maintenance and caring regularly according to the national standards, industrial standards or the relevant provisions of the State, thus to guarantee the safety operations of facilities and equipments.

Facility Comments

Proposed Corrective Action Management Response

It was recommended that all chemicals should be placed in secondary containers.

Corrective Action Plan

Responsible Personnel

Expected Completion date

13-Aug-2025

Agreed Completion Date (*)

Opportunity for Improvement # 4

Finding and Auditor Comment

Health & Safety

Chemical and Hazardous Materials

Severity

Moderate

Finding Code

O0485

Finding

The facility does not monitor the employees to ensure the personal protective equipment (PPE) for control of exposure to chemical and hazardous materials is used correctly.

Auditor Comments

Some employees did not properly wear PPE. Through on site observations, it was noted that 4 out of 30 employees at assembly workshop on 2nd floor of one 4-storey production building who were using cleaner did not wear activated carbon mask which provided by facility, and 5 out of 30 employees at assembly workshop on 2nd floor of one 4-storey production building who were using cleaner were wearing the cotton glove instead of plastic glove though facility had provided plastic glove to employees.

Applicable Laws

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Corrective Action Plan (CAP)

Law Section

Law of the PRC on Work Safety Article 45, Production and business entities shall provide their employees with work protection gears that are up to national standards or industrial specifications, and they shall give instruction to their employees and see to it that they wear or use these gears in accordance with the rules for their use.

Facility Comments

Proposed Corrective Action

It was recommended that facility should monitor employees wear PPE properly.

Management Response

Corrective Action Plan

Responsible Personnel

Expected Completion date

13-Aug-2025

Agreed Completion Date (*)

Opportunity for Improvement # 5

Finding and Auditor Comment

Management Systems

Management Systems

Severity

Minor

Finding Code

O0817

Finding

The facility has comprehensive working hour and wages management processes and procedures in a written manual that support adherence to social compliance requirements but they are not all being properly implemented.

Auditor Comments

WCA standards requires that the facility should have comprehensive processes and procedures in a written manual to support the implementation of the written social compliance policy, including but not limited to the following working hours and wages management procedures. The facility had comprehensive working hour and wages management processes and procedures in a written manual that support adherence to social compliance requirements but they are not all being properly implemented since findings about monthly overtime hours and social insurance were found.

Facility Comments

Proposed Corrective Action

It is recommended that the facility should ensure no finding in this section.

Management Response

Corrective Action Plan

Responsible Personnel

Expected Completion date

11-Nov-2025

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Agreed Completion Date (*)

Opportunity for Improvement # 6

Finding and Auditor Comment

Management Systems

Management Systems

Severity	Minor
Finding Code	O0829
Finding	The facility has comprehensive employee safety processes and procedures in a written manual that support adherence to social compliance requirements but they are not all being properly implemented.
Auditor Comments	WCA standards requires that the facility should have comprehensive processes and procedures in a written manual to support the implementation of the written social compliance policy, including but not limited to the following Employee safety procedures (occupational injury, machine safety and safety hazards).The facility had comprehensive employee safety processes and procedures in a written manual that support adherence to social compliance requirements but they are not all being properly implemented since below finding was found: Some employees did not properly wear PPE.

Facility Comments

Proposed Corrective Action	It is recommended that the facility should ensure no finding in this section.
Management Response	

Corrective Action Plan

Responsible Personnel	
Expected Completion date	11-Nov-2025
Agreed Completion Date (*)	

Opportunity for Improvement # 7

Finding and Auditor Comment

Management Systems

Management Systems

Severity	Minor
Finding Code	O0837
Finding	The facility has comprehensive chemicals management processes and procedures in a written manual that support adherence to social compliance requirements but they are not all being properly implemented.

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Corrective Action Plan (CAP)

Auditor Comments

WCA standards requires that the facility should have comprehensive processes and procedures in a written manual to support the implementation of the written social compliance policy, including but not limited to the following chemicals management procedures. The facility had comprehensive chemical management processes and procedures in a written manual that support adherence to social compliance requirement, but they are not all being properly implemented since below finding was found: lack of secondary container for some chemical.

Facility Comments

Proposed Corrective Action

It is recommended that the facility should ensure no finding in this section.

Management Response

Corrective Action Plan

Responsible Personnel

Expected Completion date

11-Nov-2025

Agreed Completion Date (*)

Disclaimer:

This report is just a preliminary result and to be used as a reference only. The final official results will be issued by the service provider in a secured file.

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